Heikki Hyyrö

Department of Computer Sciences University of Tampere, Finland

The basic problem setting:

The basic problem setting:

 \bullet Input: strings A and B from an alphabet of size σ

 \triangleright The lengths are n and m with $n \geq m$

The basic problem setting:

- Input: strings A and B from an alphabet of size σ
 ▷ The lengths are n and m with n ≥ m
- The task: compute the length of a **longest common subsequence** (LCS) of A and B

The basic problem setting:

- Input: strings A and B from an alphabet of size σ
 ▷ The lengths are n and m with n ≥ m
- The task: compute the length of a **longest common subsequence** (LCS) of A and B
 - \triangleright We denote the length by L
 - \triangleright E.g. if A = "Prague" and B = "charge"

The basic problem setting:

- Input: strings A and B from an alphabet of size σ
 ▷ The lengths are n and m with n ≥ m
- The task: compute the length of a **longest common subsequence** (LCS) of A and B

 \triangleright We denote the length by L

▷ E.g. if A = "Prague" and B = "charge", then L = LLCS(A,B) = 3

The basic problem setting:

- Input: strings A and B from an alphabet of size σ
 ▷ The lengths are n and m with n ≥ m
- The task: compute the length of a **longest common subsequence** (LCS) of A and B

 \triangleright We denote the length by L

▷ E.g. if A = "Prague" and B = "charge", then L = LLCS(A,B) = 3

LLCS is a dual of indel edit distance:

•
$$ed_{id}(A,B) = n + m - 2\mathsf{LLCS}(A,B)$$

 \bullet Preprocesses only $A,\,$ and can then read $B\,$ one character at a time

- \bullet Preprocesses only $A,\,$ and can then read $B\,$ one character at a time
- Useful e.g. in one-against-many comparison or neighborhood generation

- \bullet Preprocesses only $A,\,$ and can then read $B\,$ one character at a time
- Useful e.g. in one-against-many comparison or neighborhood generation



Prague Stringology Conference '09

• $O(n \log n + nL)$: Hirschberg: Algorithms for the Longest Common Subsequence Problem, Journal of the ACM (1977)

- $O(n \log n + nL)$: Hirschberg: Algorithms for the Longest Common Subsequence Problem, Journal of the ACM (1977)
- O(n(m L)): Nakatsu et al.: A Longest Common Subsequence Algorithm Suitable for Similar Texts, Acta Informatica (1982)

- $O(n \log n + nL)$: Hirschberg: Algorithms for the Longest Common Subsequence Problem, Journal of the ACM (1977)
- O(n(m L)): Nakatsu et al.: A Longest Common Subsequence Algorithm Suitable for Similar Texts, Acta Informatica (1982)
- $O(\sigma n + \min\{mL, L(n L)\})$: Rick: A New Flexible Algorithm for the Longest Common Subsequence Problem, *CPM* 1995

- $O(n \log n + nL)$: Hirschberg: Algorithms for the Longest Common Subsequence Problem, Journal of the ACM (1977)
- O(n(m L)): Nakatsu et al.: A Longest Common Subsequence Algorithm Suitable for Similar Texts, Acta Informatica (1982)
- $O(\sigma n + \min\{mL, L(n L)\})$: Rick: A New Flexible Algorithm for the Longest Common Subsequence Problem, *CPM* 1995
- O(σn+min{mL, L(n-L)}): Goeman & Clausen: A New Practical Linear Space Algorithm for the Longest Common Subsequence Problem, Kybernetika (2002)

An input sensitive online algorithm for LCS computation Classic solution: $\mathcal{O}(mn)$ dynamic programming An input sensitive online algorithm for LCS computation Classic solution: $\mathcal{O}(mn)$ dynamic programming

• A table D, where $D[i, j] = \text{LLCS}(A_{1..i}, B_{1..j})$ and $D[i, j] = \begin{array}{l} 1 + D[i-1, j-1], \text{ if } A_i = B_j, \\ \text{else } \max\{D[i, j-1], D[i-1, j]\} \end{array}$

		С	h	а	r	g	е
	0	0	0	0	0	0	0
Ρ	0	0	0	0	0	0	0
r	0	0	0	0	1	1	1
a	0	0	0	1	1	1	1
g	0	0	0	1	1	2	2
u	0	0	0	1	1	2	2
е	0	0	0	1	1	2	3

Prague Stringology Conference '09



Incremental:



• $\Delta[i,j] = D[i,j] - D[i-1,j]$

•
$$D[i, j] = \sum_{k=1}^{i} \Delta[k, j]$$

> $|\{\Delta[k, j]: 1 \le k \le j \land \Delta[k, j] = 1\}|$



 $\triangleright |\{\Delta[k,j]: 1 \le k \le j \land \Delta[k,j] = 1\}|$

• Store only increment points i where $\Delta[i,j] = 1$



• $\Delta[i,j] = D[i,j] - D[i-1,j]$

•
$$D[i,j] = \Sigma_{k=1}^{i} \Delta[k,j]$$

> $|\{\Delta[k,j]: 1 \le k \le j \land \Delta[k,j] = 1\}|$

• Store only increment points i where $\Delta[i,j]=1 \Rightarrow$ each column j takes $D[n,j] \leq L = {\rm LLCS}(A,B)$ space



- $\Delta[i,j] = D[i,j] D[i-1,j]$
- $D[i,j] = \Sigma_{k=1}^{i} \Delta[k,j]$ $\triangleright |\{\Delta[k,j]: 1 \le k \le j \land \Delta[k,j] = 1\}|$
- Store only increment points i where $\Delta[i,j]=1 \Rightarrow$ each column j takes $D[n,j] \leq L = {\rm LLCS}(A,B)$ space
- ullet let $I_x[j]$ denote the $x{\sf th}$ increment point in column j





Prague Stringology Conference '09



 $I_x[j] = \min\{i: i > I_{x-1}[j-1] \land (A_i = B_j \lor i = I_x[j-1])$

Prague Stringology Conference '09

An input sensitive online algorithm for LCS computation $I_x[j] = \min\{i: i > I_{x-1}[j-1] \land (A_i = B_j \lor i = I_x[j-1])$ An input sensitive online algorithm for LCS computation $I_x[j] = \min\{i: i > I_{x-1}[j-1] \land (A_i = B_j \lor i = I_x[j-1])$

How to locate the relevant match $A_i = B_j$ quickly?

An input sensitive online algorithm for LCS computation $I_x[j] = \min\{i: i > I_{x-1}[j-1] \land (A_i = B_j \lor i = I_x[j-1])$ $j-1 \qquad j$ How to locate the relevant match A = B

How to locate the relevant match $A_i = B_j$ quickly?

• Precompute a $\sigma \times n$ table NM, where $NM[\lambda, k] =$ $\min\{i : i > k \land (A_i = \lambda \lor i = n + 1)\}$



An input sensitive online algorithm for LCS computation $I_x[j] = \min\{i: i > I_{x-1}[j-1] \land (A_i = B_i \lor i = I_x[j-1])\}$ *j*-1 1 How to locate the relevant match $A_i = B_i$ *x*-2 quickly?

• Precompute a $\sigma \times n$ table NM, where $NM[\lambda, k] =$ $\min\{i: i > k \land (A_i = \lambda \lor i = n+1)\}$

 \triangleright E.g. if A = "oklahoma", then NM[a',1] = 4 and NM['h',5] = 9

x-1

x-1

x-1

x-1

 \mathcal{X}

An input sensitive online algorithm for LCS computation $I_x[j] = \min\{i: i > I_{x-1}[j-1] \land (A_i = B_i \lor i = I_x[j-1])\}$ *j*-1 1 How to locate the relevant match $A_i = B_i$ *x*-2 quickly?

• Precompute a $\sigma \times n$ table NM, where $NM[\lambda, k] =$ $\min\{i: i > k \land (A_i = \lambda \lor i = n+1)\}$

 \triangleright E.g. if A = "oklahoma", then NM[a',1] = 4 and NM['h',5] = 9

Resulting time to compute L = LLCS(A, B): $\mathcal{O}(\sigma n + mL)$

x-1

x-1

x-1

x-1

 \mathcal{X}

 The changes occur only to the first increment points among blocks of consecutive increments



 The changes occur only to the first increment points among blocks of consecutive increments



A "block" encoding:

 The changes occur only to the first increment points among blocks of consecutive increments



A "block" encoding: let $S_y[j]$ and $E_y[j]$ be the positions of the first and last points in the yth maximal segment of consecutive increment points

 The changes occur only to the first increment points among blocks of consecutive increments



A "block" encoding: let $S_y[j]$ and $E_y[j]$ be the positions of the first and last points in the yth maximal segment of consecutive increment points

•
$$\Delta[k,j] = 1$$
 for $k = S_y[j]..E_y[j]$

• $\Delta[k,j] \neq 0$ for $k = S_y[j]$ -1 and $k = E_y[j] + 1$

An input sensitive online algorithm for LCS computation Create the list of increment blocks for column jincrementally from column j-1 An input sensitive online algorithm for LCS computation Create the list of increment blocks for column jincrementally from column j - 1j-1 j j-1 j





An input sensitive online algorithm for LCS computation Create the list of increment blocks for column jincrementally from column j-1j *j*-1 *j*-1] *x*-1 *x*-1 x-1 *x*-1 *x*-1 X \mathcal{X} ${\mathcal X}$ \mathcal{X} x+1x+1x+1x+2x+2x+2x+2x+2

if $i = NM[B_j, E_{y-1}[j-1]] < S_y[j-1]$

An input sensitive online algorithm for LCS computation Create the list of increment blocks for column jincrementally from column j-1*j*-1 j *j*-1 1 *x*-1 *x*-1 *x*-1 *x*-1 *x*-1 X \mathcal{X} \mathcal{X} \mathcal{X} x+1x+1x+1x+2x+2x+2x+2x+2

if $i = NM[B_j, E_{y-1}[j-1]] < S_y[j-1]$, add increment point i and increment block $S_y[j-1] + 1..E_y[j-1]$ to column j (possibly merging point i into a block)

Prague Stringology Conference '09





if $i = NM[B_j, E_{y-1}[j-1]] \ge S_y[j-1]$

x+2

Prague Stringology Conference '09

x+2





if $i = NM[B_j, E_{y-1}[j-1]] \ge S_y[j-1]$, add increment block $S_y[j-1]..E_y[j-1]$ as such to column j

Prague Stringology Conference '09



if $i = NM[B_j, E_{y-1}[j-1]] \ge S_y[j-1]$, add increment block $S_y[j-1]..E_y[j-1]$ as such to column j

Amount of work



if $i = NM[B_j, E_{y-1}[j-1]] \ge S_y[j-1]$, add increment block $S_y[j-1]..E_y[j-1]$ as such to column j

Amount of work \approx the number of increment blocks

 \bullet Consider a column of size l in the table Δ

- \bullet Consider a column of size l in the table Δ
- Let $0_{\#}$ be the number of non-increment points

- \bullet Consider a column of size l in the table Δ
- Let $0_{\#}$ be the number of non-increment points and $1_{\#}$ the number of increment points

- \bullet Consider a column of size l in the table Δ
- Let $0_{\#}$ be the number of non-increment points and $1_{\#}$ the number of increment points

 $\triangleright l = 0_{\#} + 1_{\#}$

- \bullet Consider a column of size l in the table Δ
- Let $0_{\#}$ be the number of non-increment points and $1_{\#}$ the number of increment points

 $\triangleright l = 0_{\#} + 1_{\#}$

• Also let $block_{\#}$ be the number of maximal increment blocks

- \bullet Consider a column of size l in the table Δ
- Let $0_{\#}$ be the number of non-increment points and $1_{\#}$ the number of increment points

 $\triangleright l = 0_{\#} + 1_{\#}$

- Also let $block_{\#}$ be the number of maximal increment blocks
- Now it holds that

 \triangleright block_# $\leq 1_{\#}$

- \bullet Consider a column of size l in the table Δ
- Let $0_{\#}$ be the number of non-increment points and $1_{\#}$ the number of increment points

 $\triangleright l = 0_{\#} + 1_{\#}$

- Also let $block_{\#}$ be the number of maximal increment blocks
- Now it holds that

▷
$$block_{\#} \le 1_{\#}$$
▷ $block_{\#} \le 1 + 0_{\#} = l - 1_{\#} + 1$



Consider the figure

Prague Stringology Conference '09



Consider the figure

• Each of the first z = m - L columns



Consider the figure

• Each of the first z = m - L columns has at most z increment points (because $D[n, j] \le j$)



Consider the figure

Each of the first z = m − L columns has at most z increment points (because D[n, j] ≤ j)
 ▷ Work for this part: O(z²)









 \triangleright block_# for first n-L+i rows \leq n-L+1



increment points and the first n-L+i rows hold at least *i* increment points

 \triangleright block_# for first n-L+i rows \leq n-L+1

 \triangleright block_# for remaining rows $\leq z+i-i=z$



$\text{Total work} \approx z^2 + (m-z)(n-L+z+1) = \mathcal{O}(m(n-L))$

Prague Stringology Conference '09



Total work $\approx z^2 + (m-z)(n-L+z+1) = \mathcal{O}(m(n-L)),$ and also bounded by $\mathcal{O}(mL)$



Total work $\approx z^2 + (m-z)(n-L+z+1) = O(m(n-L))$, and also bounded by O(mL)

Total time complexity $\mathcal{O}(\sigma n + \min\{mL, L(n-L)\})$



Total work $\approx z^2 + (m-z)(n-L+z+1) = \mathcal{O}(m(n-L))$, and also bounded by $\mathcal{O}(mL)$

Total time complexity $\mathcal{O}(\sigma n + \min\{mL, L(n-L)\})$

• If $L < \frac{m}{2}$, then $\mathcal{O}(mL) = \mathcal{O}(L(n-L))$



Total work $\approx z^2 + (m-z)(n-L+z+1) = \mathcal{O}(m(n-L))$, and also bounded by $\mathcal{O}(mL)$

Total time complexity $\mathcal{O}(\sigma n + \min\{mL, L(n-L)\})$

- If $L < \frac{m}{2}$, then $\mathcal{O}(mL) = \mathcal{O}(L(n-L))$
- If $L \geq \frac{m}{2}$, then $\mathcal{O}(m(n-L)) = \mathcal{O}(L(n-L))$