Generating Tree Permutations Constant-memory Iterative Generation of Special Strings Representing Binary Trees

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Interesting combinatorical problem



Enumerate all possible shapes of binary trees with the given number of nodes.

Natural order of binary trees

The natural order of binary trees follows the recursive definition: We say that $\mathcal{T}_1 \prec \mathcal{T}_2$ if:

$$|T_1| < |T_2|$$
, or
 $|T_1| = |T_2|$ and $left(T_1) \prec left(T_2)$, lub
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- The size matters the smaller trees precedes the larger ones.
- It is defined in natural for binary trees recursive way.



Tree permutations



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- first labeling the nodes with their position's number as they appear in the preorder travelsal of the tree,
- then listing those labels as they appear in the preorder traversal of the tree.
- We shall call the resulting permutation $p = p_1, p_2, \dots, p_n$ tree permutation of the T.











Recursive property of the tree permutations

$$\forall p \in \mathscr{T}_{n} \quad \exists p' \in \mathscr{T}_{p_{1}-1} \quad \exists p'' \in \mathscr{T}_{n-p_{1}}$$

$$p = p_{1} \ p' \ (p'' \oplus p_{1})$$

$$p = 8 \quad \overbrace{4 \quad 1 \quad 3 \quad 2 \quad 6 \quad 5 \quad 7}^{p'} \quad \overbrace{4 \quad 3 \quad 1 \quad 2 \oplus 8}^{p''}$$

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Definition

Let $p = p_1, p_2, ..., p_n$ be a tree permutation. The suffix of p which makes p different from its successor in the lexicographic order is called working suffix.

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Lemma

Let p be a tree permutation and let i be the index of the first position of its working suffix. If tree permutation q is the successor of p in the lexicographic order, then $q_i = p_i + 1$.

| 1 | 2 | 3 | 4 |
|---|---|---|---|
| 1 | 2 | 4 | 3 |
| 1 | 3 | 2 | 4 |
| 1 | 4 | 2 | 3 |
| 1 | 4 | 3 | 2 |
| 2 | 1 | 3 | 4 |
| 2 | 1 | 4 | 3 |
| 3 | 1 | 2 | 4 |
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| 4 | 1 | 2 | 3 |
| 4 | 1 | 3 | 2 |
| 4 | 2 | 1 | 3 |
| 4 | 3 | 1 | 2 |
| 4 | 3 | 2 | 1 |

Working suffix properties

Lemma

Let p be a tree permutation and let i be the index of the first position of its working suffix, then there exist no such indices j, k such that i < j < k and $p_k = p_j + 1$.

Lemma

Let $p = p_1, p_2, ..., p_n$ be a tree permutation and i be the starting index of its working suffix. For any index $i \le k < n$, $p_k > p_k + 1$ implies that $p_k = p_k + 1 + 1$.



- Start with permutation 1 2 ... n.
- Find the first pair of indices i < jstarting from the end of the permutation such that $p_j = p_i + 1$.
- Swap the elements at found positions.
- Sort all but the first elements of the working suffix in ascending order.

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|---|---|---|---|
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| 1 | 4 | 3 | 2 |
| 2 | 1 | 3 | 4 |

2 3 4 1 2 4 3 Generating tree permutations in 1 32 4 lexicographic order: 1 4 2 3 • Start with permutation 1 2 ... n. 1 4 3 2 2 1 3 4 • Find the first pair of indices *i* < *j* 2 1 4 3 starting from the end of the 3 1 2 4 permutation such that $p_i = p_i + 1$. 3 2 1 4 Swap the elements at found 4 1 2 3 positions. 1 3 2 4 Sort all but the first elements of the 2 1 3 4 working suffix in ascending order. 4 3 1 2

4

3 2

Generating tree permutations in lexicographic order:
Start with permutation 1 2 ... n.
Find the first pair of indices i < j

starting from the end of the permutation such that $p_j = p_i + 1$.

- Swap the elements at found positions.
- Sort all but the first elements of the working suffix in ascending order.

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Optimalization of the searching step

 $9, 6, 3, 2, 1, 5, 4, 7, 8, 11, 10, 22, 19, 17, {\color{red}{13}}, 12, 16, 15, {\color{red}{14}}, 18, 21, 20, 25, 24, 23$

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Knowing that the number of binary trees with n nodes is is given by the Catalan number

$$C_n = \binom{2n}{n} / (n+1).$$

and using the recursive property of tree permutations we can give the formula for the summarized length of all working suffixes of tree permutations for given number of nodes n

$$W_n = \sum_{i=1}^n \left(C_{i-1} W_{n-i} + W_{i-1} + (n-i)(C_{i-1}-1) \right) + n(n-1).$$

Solving this recurrence we obtain $W_n = C_{n+1} - n - 1$. Since $C_{n+1} = \frac{2(2n+1)}{n+2}C_n$, therefore the average-case time-complexity of the algorithm is constant $O(\frac{W_n}{C_n}) = O(1)$.

Binary origami tree



http://www.tsg.ne.jp/TT/origami/gallery.html

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